09/02/2020

Cassie King-Wander
804 Olive ST
Clermont , IA 52135

Dear Child Care Provider:

This letter is in regards to the compliance visit at your Registered Child Development Home B conducted on 09/01/2020. Iowa Code Chapter 237A and 441 Iowa Administrative Code, Chapter 110, describes specific requirements that must be met by a Registered Child Development Home. You are not a participant in the voluntary Quality Rating and Improvement System. The following areas were out of compliance at the time of the visit:

441 IAC 110.8(1) **Facility Requirements**

441 IAC 110.8(1)"n" Providers shall inform parents of the presence of any pet in the home.

1. Each dog or cat in the household shall undergo an annual health examination by a licensed veterinarian. Acceptable veterinary examinations shall be documented on Form 470-5153, Veterinary Health Certificate. This examination shall verify that the animal's routine immunizations, particularly rabies, are current and that the animal shows no evidence of endoparasites (roundworms, hookworms, whipworms) and ectoparasites (fleas, mites, ticks, lice).

2. Each pet bird in the household shall be purchased from a dealer licensed by the Iowa department of agriculture and land stewardship and shall be examined by a veterinarian to verify that it is free of infectious diseases. Acceptable veterinary examinations shall be documented on Form 470-5153, Veterinary Health Certificate. Children shall not handle pet birds.

3. Aquariums shall be well maintained and installed in a manner that prevents children from accessing the water or pulling over a tank.

4. All animal waste shall be immediately removed from the children's areas and properly disposed of. Children shall not perform any feeding or care of pets or cleanup of pet waste.

5. No animals shall be allowed in the food preparation, food storage, or serving areas during food preparation and serving times

441 IAC 110.8(3) **Medications and Hazardous Materials**

441 IAC 110.8(3)"a" All medicines and poisonous, toxic, or otherwise unsafe materials shall be secured from access by a child

441 IAC 110.8(5) **Safe Sleep**

441 IAC 110.8(5) "a" The provider shall follow safe sleep practices as recommended by the American Academy of Pediatrics for infants under the age of one.

a. Infants shall always be placed on their back for sleep.
b. Infants shall be placed on a firm mattress with a tight fitted sheet that meets Consumer Product Safety Commission federal standards.
c. Infants shall not be allowed to sleep on a bed, sofa, air mattress or other soft surface. No child shall be allowed to sleep in an infant seat, car seat, swing, bouncy seat, or items not designed for sleeping.
d. No toys, soft objects, stuffed animals, pillows, bumper pads, blankets, or loose bedding shall be allowed in the sleeping area with the infant.
e. No co-sleeping shall be allowed.
f. Sleeping infants shall be actively observed by sight and sound.
g. If an alternate sleeping position is needed, a signed physician authorization with statement of medical reason is required.

441 IAC 110.8(5) "b" No child shall be allowed to sleep in any item not designed for sleeping including, but not limited to, an infant seat, car seat, swing, or bouncy seat.

441 IAC 110.9 Files

441 IAC 110.9(1) **A provider file is maintained and shall contain the following:**

441 IAC 110.9(1)“a” A physician’s examination report for the provider and all members of the provider’s household aged 18 years or older. Acceptable physical examinations shall be documented on Form 470-5152, Child Care Provider Physical Examination Report. All children residing in the household must have medical documentation outlined in 110.9(4) “d”, 110.9(4) “f”, and 110.9(4) “g”

441 IAC 110.9(2) An individual file is maintained for each staff assistant and contains:

1. Documentation from the department confirming the record checks required under subrule 110.11(3) have been completed and authorizing or conditionally limiting the person’s involvement with child care
2. A completed Form 470-5152, Child Care Provider Physical Examination Report. The examination shall include any necessary testing for communicable diseases; a discussion of recommended vaccinations; completed no more than six months prior to approval to assist or be a household member; completed by a licensed medical doctor, doctor of osteopathy, physician assistant or advanced registered nurse practitioner; and repeated at least every three years.
3. Certification of two hours of approved training related to identification and reporting of child abuse as required by Iowa Code section 232.69 within 3 months of employment.

441 IAC 110.9(3) An individual file is maintained for each substitute and contains:

1. Documentation from the department confirming the record checks required under 441 IAC 110.9(1) “a” have been completed and authorizing or conditionally limiting the person’s involvement with child care.
2. A completed Form 470-5152, Child Care Provider Physical Examination Report. The examination shall include any necessary testing for communicable diseases; a discussion of recommended vaccinations; completed no more than six months prior to approval to assist or be a household member; completed by a licensed medical doctor, doctor of osteopathy, physician assistant or advanced registered nurse practitioner; and repeated at least every three years.
3. Certification of two hours of approved training related to identification and reporting of child abuse as required by Iowa Code section 232.69 within 3 months of employment.
4. Certification in infant and child first aid
5. Certification or other documentation that minimum health and safety training has been completed within 3 months or prior to providing substitute care, whichever occurs first.

Findings:

During the unannounced annual spot check on 9/1/2020, DHS worker Toni Brown observed the following:
1) Nikko (Feline) was on antibiotics which led to a delay in vaccines. No form 470-5153 completed.
2) Potentially hazardous materials were accessible to a child (under bathroom sink).
3) Infant (DOB 1/8/20) was observed sleeping on the floor in a fetal position with his knees on the floor and his head on a pillow/ soft item. Child with birthdate of 6/4/18 was observed asleep on couch in a sitting position with a blanket. Provider indicated that she has another child (DOB 7/26/18) that she places on the loveseat to sleep.
4) Provider's physical expired on 11/13/2019.
5) Assistant (Samantha) has expired physical (1/31/20). Unable to locate certificate for the identification and reporting of child abuse.
6) Substitute (Samantha): Unable to locate documentation identifying this person as an approved substitute. Expired physical (1/31/20). Unable to locate certificate for the identification and reporting of child abuse.

Suggestions/Recommendations:

**** These are general reminders. This does not reflect on the spot check that was completed.
• If you have a child that has allergies (other than seasonal), a written emergency plan must be completed. This plan is to accompany the child if away from the facility.
• Serious injuries and deaths must be reported within 24 hours.
• For each child under the age of 12 months that is present, you must have a crib or crib like furniture for safe sleep practices.
• No child should be allowed to start attending your child care program until all paperwork has been completed by his or her parent and turned in to you.
• Each child in your care needs to have an updated medical statement or physical annually.
• The vet needs to inspect your pets annually and complete form 470-5153 showing your pet is free of endo and ecto parasites.
• Your private water supply needs to be tested annually.
• No one can be an assistant and/or substitute until you have received the letter from Child Care Registration indicating that person is approved.
• Please note that if you are found to be noncompliant for the same requirement for two consecutive years, a safety plan or revocation could occur.

Please make every attempt to become compliant within 15 days of receipt of this letter. Please email pictorial documentation of compliance to me at tbrown@dhs.state.ia.us or text me at (319) 429-1736. If you are in need of more time to become compliant, please contact me at (319) 292-2419 or (319) 429-1736

Corrective Action Required:

1) Once feline is able to have vaccinations, take to vet and have form 470-5153 completed.
2) This issue was resolved during the time DHS was in the home.
3) Follow safe sleep practices at all times.
4) Obtain an updated physical on form 470-5152.
5) Assistant file needs an updated physical on form 470-5152 and a copy of certificate for the identification & reporting of child abuse.
6) Substitute file - needs a copy of the documentation identifying this person as an approved substitute, an updated physical and a copy of certificate for the identification & reporting of child abuse.

Have a children's file review and safety assessment completed by Child Care Nurse Consultant.

Non-compliance with any of the mandated requirements listed above may lead to the cancellation or revocation of your Child Development Home Registration. Please take whatever steps are necessary to completely address each of the violations noted above. It is essential you correct all above-mentioned violations.

Please do not hesitate to contact me at DHS at (319) 429-1736 if you have any questions regarding this letter.

Sincerely,

Toni Brown

Social Worker II

Kati Swanson

Social Work Supervisor

Always Remember:

Child Care Resource and Referral is an excellent resource for providers to access training options and support in your area. You can reach Child Care Resource and Referral at 877-216-8481

As you plan your future trainings to meet your 24 hours of training requirement, please remember that you can access the approved training by going to http://www.dhs.state.ia.us/Consumers/Child_Care/Professional_Development.html

You may also access training at: https://ccmis.dhs.state.ia.us/trainingregistry/

All providers need to maintain compliance with rules set out in Iowa Administrative Code, Chapter 110, which includes: 441 IAC 110.5(1): Check with the appropriate authorities to determine how the following local, state, or federal laws apply to you: • Zoning code • Building code • Fire code • Business license • State and federal income tax • Unemployment insurance • Worker's Compensation • Minimum wage and hour requirements • OSHA • Americans with Disabilities Act (ADA).