



# Iowa Department of Human Services

Kim Reynolds  
Governor

Adam Gregg  
Lt. Governor

Kelly K. Garcia  
Director

11/30/2017

Tracy Lillie  
910 Westland DR  
Burlington, IA 52601

Dear Child Care Provider:

This letter is in regards to the follow up at your Registered Child Development Home B conducted on 11/30/2017. Iowa Code Chapter 237A and 441 Iowa Administrative Code, Chapter 110, describes specific requirements that must be met by a Registered Child Development Home. You are not a participant in the voluntary Quality Rating and Improvement System. The following areas were out of compliance at the time of the visit:

441 IAC 110.9 Files

**441 IAC 110.9(1) A provider file is maintained and shall contain the following:**

441 IAC 110.9(4)

Children's Files. An individual file for each child shall be maintained and updated annually or when the provider becomes aware of changes. The file shall contain:

- a. Identifying information including, at a minimum, the child's name, birth date, parent's name, address, telephone number, special needs of the child, and the parent's work address and telephone number.
- b. Emergency information including, at a minimum, where the parent can be reached, the name, street address, city and telephone number of the child's regular source of health care, and the name, telephone number, and relationship to the child of another adult available in case of emergency.
- c. A signed medical consent from the parent authorizing emergency treatment.
- d. An admission physical examination report signed by a licensed physician or designee in a clinic supervised by a licensed physician
  1. The date of the physical examination shall not be more than 12 months before the child's first day of attendance at the child development home.
  2. The written report shall include past health history, status of present health, allergies and restrictive conditions, and recommendations for continued care when necessary.
  3. For a child who is five years of age or older and enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physical examination report.
  4. The examination report or statement of health status shall be on file before the child's first day of care
- e. For children under the age of 6, a statement of health condition signed by a physician or designee submitted annually from the date of the admission physical. For a child who is enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physician statement.
- f. For each school-age child, on the first day of attendance, documentation of a physical examination that was completed at the time of school enrollment or since.
- g. A signed and dated immunization certificate provided by the state department of public health. For the school-age child, a copy of the most recent immunization record shall be acceptable.
- h. For any child with allergies, a written emergency plan in the case of an allergic reaction. A copy of this information shall accompany the child if the child leaves the premises.
- i. Documentation that is signed by the parent and names persons authorized to pick up

the child. The authorization shall include the name, telephone number, and relationship of the authorized person to the child.

j. Written permission from the parent for the child to attend activities away from the child development home. k. Injury report forms documenting injuries requiring first aid or medical care

l. If the child meets the definition of homelessness as defined by section 725(2) of the McKinney-Vento Homeless Education Assistance Act, the family shall receive a 60-day grace period to obtain medical documentation.

#### Findings:

Lisa Hilsenbeck, Child Care Compliance Auditor, completed a follow up visit on 11/30/17 checking the following regulations:

441 IAC 110.8 Tracy needs to shampoo her living room carpets to remove multiple stains present. Viewed living room carpets. Carpets appear to have been cleaned and have normal wear.

441 IAC 110.8(1)"d" Tracy needs to put a child door knob lock on door to basement. Viewed child door knob lock on the basement door.

441 IAC 110.8(1)"h" Tracy needs to replace battery in detector at the top of her second story stairs. Viewed new smoke detector at the top of the second story stairs. Detector was tested during the follow up visit.

Tracy needs to show documentation of her monthly smoke detector checks. Viewed documentation of monthly smoke detector testing.

441 IAC 110.8(1)"p" Tracy needs to show documentation of her procedures that she would follow if a daycare child experiences a health

related emergency while in her care. Viewed written policy pertaining to procedures for a child health related emergency.

441 IAC 110.8(1)"r" Tracy needs to hang up her certificate of registration in a conspicuous area. Viewed registration certificate posted by the back sliding glass door.

441 IAC 110.8(2) "a" Tracy needs to close door of shed in outdoor play area to secure access; remove charcoal briquettes, grilling tools from

area; cover her grill; remove rotten tomatoes from table; remove lighter fluid, minor trash and cigarette butts from area. Viewed outdoor play area. Shed door has been closed. Grilling tools, charcoal briquettes, rotten tomatoes, lighter fluid, trash and cigarettes butts have been removed. Grill has been covered.

441 IAC 110.8(3)"a" Tracy needs to secure lock on her bathroom sink cabinet and remove hairspray on bathroom sink counter. Viewed locks on bathroom sink cabinet and hairspray has been removed from counter.

441 IAC 110.8(3)"b" Tracy needs to place bandages in her home first aid kit and disposable gloves and bottle of water with her transport

vehicle's first aid kit. Viewed bandages in home first aid kit and disposable gloves and a bottle of water in the vehicle's first aid kit.

441 IAC 110.8(4) Tracy needs to place her rear emergency plan closer to her patio door. Viewed emergency plans posted by rear patio door.

441 IAC 110.8(4) "a" Tracy needs to show documentation of her monthly fire and tornado drills that she practices with the daycare children. Viewed monthly documentation of monthly fire and tornado drills.

441 IAC 110.8(4) "b" Tracy needs to add specific street addresses to her evacuation areas listed in her Emergency Preparedness Plan. Viewed specific street addresses added to evacuation areas.

441 IAC 110.9(1)"a" Tracy needs to have the physician who examined Bryan put the date when TB screening was discussed on his Child

Care Provider Physical Examination Report form. Viewed physical for Bryan. Date for TB discussion has been added.

Tracy needs to have an updated school-aged health status form filled out for Drake and Avery. Viewed updated school-aged health status form for Drake and Avery.

441 IAC 110.9(1)"b" (1) Tracy needs to show documentation of her completed Health and Safety Training modules. Viewed completed Health and Safety Training modules for Tracy.

441 IAC 110.9(1)"b"(2) Tracy needs to show documentation of her Background Check Approval Letter from the Central Childcare Registration

Unit. Viewed a copy of Tracy's Background Check Approval Letter.

441 IAC 110.9(4) Children's Files. An individual file for each child shall be maintained and updated annually or when the provider becomes aware of changes. The file shall contain:

Identifying information including, at a minimum, the child's name, birth date, parent's name, address, telephone number, special needs of the child, and the parent's work address and telephone number. Need update for A.S., B.D.

Viewed for B.D. Tracy reports A.S. is no longer enrolled in care.

Emergency information including, at a minimum, where the parent can be reached, the name, street address, city and telephone number of the child's regular source of health care, and the name, telephone number, and relationship to the

child of another adult available in case of emergency. Need update for A.S., B.D. Viewed for B.D. Tracy reports A.S. is no longer enrolled in care.

A signed medical consent from the parent authorizing emergency treatment. Need for A.S., B.M. Need update for B.D. Viewed update for B.D. Tracy reports A.S., B.M. are no longer enrolled in care.

An admission physical examination report signed by a licensed physician or designee in a clinic supervised by a licensed physician

The date of the physical examination shall not be more than 12 months before the child's first day of attendance at the child development home.

The written report shall include past health history, status of present health, allergies and restrictive conditions, and recommendations for continued care when necessary. Need physical for A.S., B.M., A.W. Tracy reports A.S, B.M. are no longer enrolled in care.

For a child who is five years of age or older and enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physical examination report. Need school-aged health status form for A.S. Tracy reports A.S. is no longer enrolled in care.

A statement of health condition signed by a physician or designee submitted annually from the date of the admission physical. For a child who is five years of age or older and enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physician statement. Need updated physical for B.D. Viewed updated physical for B.D.

A signed and dated immunization certificate provided by the state department of public health. For the school-age child, a copy of the most recent immunization record shall be acceptable. Need for A.S., B.M., A.W. Tracy reports A.S, B.M. are no longer enrolled in care.

A list that is signed by the parent and names persons authorized to pick up the child. The authorization shall include the name, telephone number, and relationship of the authorized person to the child. Need update for A.S., B.D. Viewed update for B.D. Tracy reports A.S. is no longer enrolled in care.

Written permission from the parent for the child to attend activities away from the child development home. Need update for A.S., B.D. Viewed for B.D. Tracy reports A.S. is no longer enrolled in care.

Items of non-compliance after the follow up visit:

#### 441 IAC 110.9(4) Children's Files

An admission physical examination report signed by a licensed physician or designee in a clinic supervised by a licensed physician

The date of the physical examination shall not be more than 12 months before the child's first day of attendance at the child development home.

The written report shall include past health history, status of present health, allergies and restrictive conditions, and recommendations for continued care when necessary. Need physical for A.W.

A signed and dated immunization certificate provided by the state department of public health. For the school-age child, a copy of the most recent immunization record shall be acceptable. Need for A.W.

Suggestions for Improvement:

Recommendation:

Tracy has 2 weeks (12/14/17) to send non-compliance paperwork to Chad Reckling (creckli@dhs.state.ia.us) or Lisa Hilsenbeck (lhilsen@dhs.state.ia.us).

Is the recommendation to terminate a provider agreement or revoke a registration?

Non-compliance with any of the mandated requirements listed above may lead to the cancellation or revocation of your Child Development Home Registration. Please take whatever steps are necessary to completely address each of the violations noted above. It is essential you correct all above-mentioned violations.

Based on the items out of compliance listed above, you will not be required to have a recheck or follow up visit to your home.

Please do not hesitate to contact me at DHS at 319-208-5521 or creckli@dhs.state.ia.us if you have any questions regarding this letter.

Sincerely,

Chad Reckling

**Social Worker II**

Machelle Pezley

**Social Work Supervisor**

Always Remember:

Child Care Resource and Referral is an excellent resource for providers to access training options and support in your area. You can reach Child Care Resource and Referral at 866-324-3236

As you plan your future trainings to meet your 24 hours of training requirement, please remember that you can access the approved training by going to [http://www.dhs.state.ia.us/Consumers/Child\\_Care/Professional\\_Development.html](http://www.dhs.state.ia.us/Consumers/Child_Care/Professional_Development.html)

You may also access training at: <https://ccmis.dhs.state.ia.us/trainingregistry/>

All providers need to maintain compliance with rules set out in Iowa Administrative Code, Chapter 110, which includes: 441 IAC 110.5(1): Check with the appropriate authorities to determine how the following local, state, or federal laws apply to you: • Zoning code • Building code • Fire code • Business license • State and federal income tax • Unemployment insurance • Worker's Compensation • Minimum wage and hour requirements • OSHA • Americans with Disabilities Act (ADA).