02/22/2023

Korene Shady
109 S Vermont ST
Maquoketa, IA 52060

Dear Child Care Provider:

This letter is in regards to the compliance visit at your Registered Child Development Home B conducted on 02/20/2023. Iowa Code Chapter 237A and 441 Iowa Administrative Code, Chapter 110, describes specific requirements that must be met by a Registered Child Development Home. You are not a participant in the voluntary Quality Rating and Improvement System. The following areas were out of compliance at the time of the visit:

441 IAC 110.7 **Provider Requirements**

441 IAC 110.8 Standards. Conditions in the home are safe, sanitary, and free of hazards.

441 IAC 110.8(1) **Facility Requirements**

441 IAC 110.8(1)"d" Approved safety gates at stairways and doors shall be provided and used as needed.

441 IAC 110.8(1)"h" The home shall have at least one single-station, battery-operated, UL-approved smoke detector in each child-occupied room and at the top of every stairway. Each smoke detector shall be installed according to manufacturer's recommendations. The provider shall test each smoke detector monthly and keep a record of testing for inspection purposes

441 IAC 110.8(1)"i" Smoking and the use of tobacco products shall be prohibited at all times in the home and in every vehicle in which children receiving care in the home are transported.
Smoking and the use of tobacco products shall be prohibited in the outdoor play area during the home's hours of operation. Nonsmoking signs shall be posted at every entrance of the child care home and in every vehicle used to transport children.
All signs shall include:

1. The telephone number for reporting complaints, and
2. The Internet address of the department of public health (www.iowasmokefreeair.gov)

441 IAC 110.8(3) **Medications and Hazardous Materials**

441 IAC 110.8(3)"c" Medications shall be given only with the parent's or doctor's written authorization. Each prescribed medication shall be accompanied by a physician's or pharmacist's direction. Both nonprescription and prescription medications shall be in the original container with directions intact and labeled with the child's name. All medications shall be stored properly and, when refrigeration is required, shall be stored in a separate, covered container so as to prevent contamination of food or other medications. All medications shall be stored so they are inaccessible to children. Any medication administered to a child shall be recorded, and the

record shall indicate the name of the medication, the date and time of administration, and the amount given

441 IAC 110.8(4) **Emergency Plans**

441 IAC 110.8(4) “a” Fire and tornado drills shall be practiced monthly and the provider shall keep documentation evidencing compliance with monthly practice on file for the current year and the previous year.

441 IAC 110.8(5) **Safe Sleep**

441 IAC 110.8(5) "a" The provider shall follow safe sleep practices as recommended by the American Academy of Pediatrics for infants under the age of one.

a. Infants shall always be placed on their back for sleep.
b. Infants shall be placed on a firm mattress with a tight fitted sheet that meets Consumer Product Safety Commission federal standards.
c. Infants shall not be allowed to sleep on a bed, sofa, air mattress or other soft surface. No child shall be allowed to sleep in an infant seat, car seat, swing, bouncy seat, or items not designed for sleeping.
d. No toys, soft objects, stuffed animals, pillows, bumper pads, blankets, or loose bedding shall be allowed in the sleeping area with the infant.
e. No co-sleeping shall be allowed.
f. Sleeping infants shall be actively observed by sight and sound.
g. If an alternate sleeping position is needed, a signed physician authorization with statement of medical reason is required.

441 IAC 110.9 Files

441 IAC 110.9(1) **A provider file is maintained and shall contain the following:**

441 IAC 110.9(4) Children’s Files. An individual file for each child shall be maintained and updated annually or when the provider becomes aware of changes. The file shall contain:

a. Identifying information including, at a minimum, the child’s name, birth date, parent’s name, address, telephone number, special needs of the child, and the parent’s work address and telephone number.
b. Emergency information including, at a minimum, where the parent can be reached, the name, street address, city and telephone number of the child’s regular source of health care, and the name, telephone number, and relationship to the child of another adult available in case of emergency.
c. A signed medical consent from the parent authorizing emergency treatment.
d. An admission physical examination report signed by a licensed physician or designee in a clinic supervised by a licensed physician
1. The date of the physical examination shall not be more than 12 months before the child’s first day of attendance at the child development home.
2. The written report shall include past health history, status of present health, allergies and restrictive conditions, and recommendations for continued care when necessary.
3. For a child who is five years of age or older and enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physical examination report.
4. The examination report or statement of health status shall be on file before the child’s first day of care
e. For children under the age of 6,a statement of health condition signed by a physician or designee submitted annually from the date of the admission physical. For a child who is enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physician statement.
f. For each school-age child, on the first day of attendance, documentation of a physical examination that was completed at the time of school enrollment or since.
g. A signed and dated immunization certificate provided by the state department of public

health. For the school-age child, a copy of the most recent immunization record shall be acceptable.
h. For any child with allergies, a written emergency plan in the case of an allergic reaction. A copy of this information shall accompany the child if the child leaves the premises.
i. Documentation that is signed by the parent and names persons authorized to pick up the child. The authorization shall include the name, telephone number, and relationship of the authorized person to the child.
j. Written permission from the parent for the child to attend activities away from the child development home.**k.** Injury report forms documenting injuries requiring first aid or medical care
l. If the child meets the definition of homelessness as defined by section 725(2) of the McKinney-Vento Homeless Education Assistance Act, the family shall receive a 60-day grace period to obtain medical documentation.

Findings:

On February 20, 2023, Tammi Herbst completed a compliance check regarding Korene Shady's child care home. The following was noted to be in non-compliance.

441 IAC 110.8 Conditions of the home shall be safe, sanitary, and free from hazards.

441 IAC 110.8(1)d Safety Barriers
Korene needs to have a child safety gate at the bottom of the stairwell. (A photo was provided on 2-21-2023 of this completed)\

441 IAC 110.8(1)h Smoke Detector Testing
Korene needs to test her smoke detectors monthly and document the date and time of each test. Two years worth of records need to be maintained for the file. Korene didn't have 2023 tests wrote down. (This was provided via photo (following the visit) on 2-21-2023)

441 IAC 110.8(1)i No Smoking Signs
Korene needs to have no smoking signs posted at each primary and secondary exit. (Korene posted a no smoking sign on her front door during the visit)

441 IAC 110.8(3) c Medications inaccessible to the children
Korene needs to have a secure lock on her bathroom medicine cabinet above her sink, as she stores medications there.
Korene needs to have a secure lock on her bathroom closet above her toilet, as she stores medications there (this was completed during the visit)

441 IAC 110.8(4) a Fire and Tornado Drills
Korene needs to complete fire drills and tornado drills monthly and document the date and time of each test. Korene did not have 2023 documented. (this was provided following the visit)

441 IAC 110.8(5)a Safe Sleep
Korene needs to abide by safe sleep as recommended by AAP. She needs to place infants (under 12 months) on their back to sleep. Korene needs to have a tight fighting sheet on the pack and play she uses. Korene needs to not allow anything in the crib including blankets with the child. A SAFETY PLAN WAS PUT IN PLACE TODAY AND UPLOADED TO THE SYSTEM

441 IAC 110.9(4) a,b,c,e,g,h,i,j Child Files
a- Identifying information including, at a minimum, the child's name, birth date, parent's name, address, telephone number, special needs of the child, and the parent's work address and telephone number.
This is needed for LB, RB, NH, AS, BS, JS(6), JS(4), JS(3), JS(1)

B- Emergency information including, at a minimum, where the parent can be reached, the name, street address, city

and telephone number of the child's regular source of health care, and the name, telephone number, and relationship to the child of another adult available in case of emergency.
This is needed for LB, RB, NH, AS, BS, JS(6), JS(4), JS(3), JS(1)

C- A signed medical consent from the parent authorizing emergency treatment.
This is needed for LB, RB, NH, AS, BS, JS(6), JS(4), JS(3), JS(1)

E- For infants and preschoolers: a signed statement of health signed by a physician submitted annually
This is needed for RB, AS, BS, AND JS(4)

E- For children under the age of 6, a statement of health condition signed by a physician or designee submitted annually from the date of the admission physical. For a child who is enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physician statement.
This is needed for JS (6)

G-A signed and dated immunization certificate provided by the state department of public health. For the school-age child, a copy of the most recent immunization record shall be acceptable.
This is needed for LB, RB, NH, and BS

H- For any child with allergies, a written emergency plan in the case of an allergic reaction. A copy of this information shall accompany the child if the child leaves the premises.
This is needed for LB, RB, NH, AS, BS, JS(6), JS(4), JS(3), JS(1)

I- Documentation list that is signed by the parent and names persons authorized to pick up the child. The authorization shall include the name, telephone number, and relationship of the authorized person to the child.
This is needed for LB, RB, NH, AS, BS, JS(6), JS(4), JS(3), JS(1)

J- Written permission from the parent for the child to attend activities away from the child development home.
This is needed for LB, RB, NH, AS, BS, JS(6), JS(4), JS(3), JS(1)

Suggestions/Recommendations:

Korene needs to abide by safe sleep practices. A safety plan was put in to place today.

Korene should attempt to have more variety of toys for the children to play with.

Corrective Action Required:

An in-person follow up will not be needed; however, photo documentation of items completed need to be emailed to this worker at therbst@dhs.state.ia.us no later then April 13, 2023.

If Korene desires for an in-person follow up, she can contact this worker to request this.

Iowa HHS has made a referral for safe sleep to CCRR on 2-21-2023 (via email) and had contacted CCRR, Alicia Wagner on 2-20-2023 following the visit to inform via message that there was a safe sleep violation and a safety plan had been implemented.

Korene should contact CCRR, Alicia Wagner at 319-538-8464, for resources and support.

Korene should contact CCNC, Chris McClimon, at 563-349-7024 for resources and support.

If Korene desires to participate in the food program, she can contact Traci Miller at 319-338-7684 ext. 109

Non-compliance with any of the mandated requirements listed above may lead to the cancellation or revocation of your Child Development Home Registration. Please take whatever steps are necessary to completely address each of the violations noted above. It is essential you correct all above-mentioned violations.

Please do not hesitate to contact me at DHS at 563-590-1536 or therbst@dhs.state.ia.us if you have any questions regarding this letter.

Sincerely,

Tammi Herbst

Social Worker II

Machelle Pezley

Social Work Supervisor

Always Remember:

Child Care Resource and Referral is an excellent resource for providers to access training options and support in your area. You can reach Child Care Resource and Referral at 319-538-8464

As you plan your future trainings to meet your 24 hours of training requirement, please remember that you can access the approved training by going to http://www.dhs.state.ia.us/Consumers/Child_Care/Professional_Development.html

You may also access training at: https://ccmis.dhs.state.ia.us/trainingregistry/

All providers need to maintain compliance with rules set out in Iowa Administrative Code, Chapter 110, which includes: 441 IAC 110.5(1): Check with the appropriate authorities to determine how the following local, state, or federal laws apply to you: • Zoning code • Building code • Fire code • Business license • State and federal income tax • Unemployment insurance • Worker's Compensation • Minimum wage and hour requirements • OSHA • Americans with Disabilities Act (ADA).