IOWA HHS **Iowa Department of Health And Human Services**

CHILD CARE COMPLIANT

Name of Provider Shannon Uglum	**County** Polk	
Care Address 1704 31st AVE SW	**City** Altoona	**Zip Code** 50009
Mailing Address 1704 31st AVE SW	**Mailing City** Altoona	**Mailing Zip Code** 50009
Phone 515-314-2279	**Email**	

Date of Complaint:	12/14/2023	**Date of Visit:**	01/25/2024

Type of Visit

[ ] Scheduled [X] Unannounced [ ] N/A

Compliance Regulation

[X] Non-Compliance with Regulations Found [ ] Compliance with Regulations Found

Recommendation for Registration:

[X] No Changes to registration status recommended

[ ] Revocation of Registration

[ ] Cancellation of Child Care Assistance Provider Agreement

Category of Care:

[ ] Category A

[X] Category B

[ ] Category C (with no co-provider)

[ ] Category C (with co-provider)

[ ] Non-registered Child Care Home with CCA Provider Agreement

Complaint Details:

Did this complaint result in a serious injury? ☐ Yes ☒ No

Did this complaint result in a death to a child? ☐ Yes ☒ No

Summary of Complaint:

On 12/14/2023 the Department of Human Services received a complaint regarding registered category B child development home provider Shannon Uglum. The complaint alleges Ms. Uglum is over numbers for a category B provider.

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Rule Basis and Findings of Complaint(s):

RULE(S):
441 IAC 110.14(1) “a” No more than eight children not attending kindergarten or a higher grade level shall be present at any one time

FINDINGS: Myself and SWS Aunspach completed an unannounced visit on 1/25/24 to Ms. Uglum's daycare residence. Ms. Uglum allowed entrance to discuss the complaint. Six children were present during the visit, ages 11 months to 2 years old. We discussed the allegation of being over numbers. Ms. Uglum stated she is over numbers between the hours of 11:30am-3:30pm due to a preschooler coming in the afternoon. She stated this is naptime. We reviewed the regulations regarding capacity for category B child care providers. Ms. Uglum stated she understood the regulations regarding capacity and had a plan with how she would get within numbers.

Resolution and Action Required:

A full compliance visit completed on this day. This report concludes the evaluation of this complaint, no further action is required at this time.

Consultant's Signature:	Ellen Abbott	**Date of Visit:**	01/31/2024
Supervisor Signature:	Sheila Aunspach	**Date of Visit:**	01/31/2024