IOWA HHS
**Iowa Department of Health And Human Services**

**Kim Reynolds**
**Governor**

**Adam Gregg**
**Lt. Governor**

**Kelly K. Garcia**
**Director**

03/27/2025

Jennifer Stephens
6427 160th ST
Albia, IA 52531

Dear Child Care Provider:

This letter is in regards to the compliance visit at your Registered Child Development Home B conducted on 03/10/2025. Iowa Code Chapter 237A and 441 Iowa Administrative Code, Chapter 110, describes specific requirements that must be met by a Registered Child Development Home. You are not a participant in the voluntary Quality Rating and Improvement System. The following areas were out of compliance at the time of the visit:

| **FINDINGS:** | **Identify FINDINGS in each area of non-compliance.** |
|---|---|
| 441 IAC 110.6 | Limit. Except as provided in subrule 110.6(3), no greater number of children shall be received for care at any one time than the number authorized on the registration certificate. |
| **441 IAC 110.8(1)** | **Facility Requirements** |
| 441 IAC 110.8(1)“ n” | Providers shall inform parents of the presence of any pet in the home.<br>**1.** Each dog or cat in the household shall undergo an annual health examination by a licensed veterinarian. Acceptable veterinary examinations shall be documented on Form 470-5153, Veterinary Health Certificate. This examination shall verify that the animal’s routine immunizations, particularly rabies, are current and that the animal shows no evidence of endoparasites (roundworms, hookworms, whipworms) and ectoparasites (fleas, mites, ticks, lice).<br>**2.** Each pet bird in the household shall be purchased from a dealer licensed by the Iowa department of agriculture and land stewardship and shall be examined by a veterinarian to verify that it is free of infectious diseases. Acceptable veterinary examinations shall be documented on Form 470-5153, Veterinary Health Certificate. Children shall not handle pet birds.<br>**3.** Aquariums shall be well maintained and installed in a manner that prevents children from accessing the water or pulling over a tank.<br>**4.** All animal waste shall be immediately removed from the children’s areas and properly disposed of. Children shall not perform any feeding or care of pets or cleanup of pet waste.<br>**5.** No animals shall be allowed in the food preparation, food storage, or serving areas during food preparation and serving times |
| **441 IAC 110.8(4)** | **Emergency Plans** |
| 441 IAC 110.8(4) “a” | Fire and tornado drills shall be practiced monthly and the provider shall keep documentation evidencing compliance with monthly practice on file for the current year and the previous year. |


**1305 E. Walnut Street, Des Moines, IA 50319-0114**

441 IAC 110.9 Files

**441 IAC 110.9(1)** **A provider file is maintained and shall contain the following:**

441 IAC 110.9(1)“ a” A physician’s examination report for the provider and all members of the provider’s household aged 18 years or older. Acceptable physical examinations shall be documented on Form 470-5152, Child Care Provider Physical Examination Report. All children residing in the household must have medical documentation outlined in 110.9(4) “d”, 110.9(4) “f”, and 110.9(4) “g”

441 IAC 110.9(4) Children’s Files. An individual file for each child shall be maintained and updated annually or when the provider becomes aware of changes. The file shall contain:

**a.** Identifying information including, at a minimum, the child’s name, birth date, parent’s name, address, telephone number, special needs of the child, and the parent’s work address and telephone number.

**b.** Emergency information including, at a minimum, where the parent can be reached, the name, street address, city and telephone number of the child’s regular source of health care, and the name, telephone number, and relationship to the child of another adult available in case of emergency.

**c.** A signed medical consent from the parent authorizing emergency treatment.

**d.** An admission physical examination report signed by a licensed physician or designee in a clinic supervised by a licensed physician

**1.** The date of the physical examination shall not be more than 12 months before the child’s first day of attendance at the child development home.

**2.** The written report shall include past health history, status of present health, allergies and restrictive conditions, and recommendations for continued care when necessary.

**3.** For a child who is five years of age or older and enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physical examination report.

**4.** The examination report or statement of health status shall be on file before the child’s first day of care

**e.** For children under the age of 6,a statement of health condition signed by a physician or designee submitted annually from the date of the admission physical. For a child who is enrolled in school, a statement of health status signed by the parent or legal guardian may be substituted for the physician statement.

**f.** For each school-age child, on the first day of attendance, documentation of a physical examination that was completed at the time of school enrollment or since.

**g.** A signed and dated immunization certificate provided by the state department of public health. For the school-age child, a copy of the most recent immunization record shall be acceptable.

**h.** For any child with allergies, a written emergency plan in the case of an allergic reaction. A copy of this information shall accompany the child if the child leaves the premises.

**i.** Documentation that is signed by the parent and names persons authorized to pick up the child. The authorization shall include the name, telephone number, and relationship of the authorized person to the child.

**j.** Written permission from the parent for the child to attend activities away from the child development home.**k.** Injury report forms documenting injuries requiring first aid or medical care

**l.** If the child meets the definition of homelessness as defined by section 725(2) of the McKinney-Vento Homeless Education Assistance Act, the family shall receive a 60-day grace period to obtain medical documentation.

**441 IAC 110.14** **SPECIFIC REQUIREMENTS FOR CHILD DEVELOPMENT HOME CATEGORY "B"**

441 IAC 110.14(1) “a” No more than eight children not attending kindergarten or a higher grade level shall be present at any one time

Findings:

1. 110.6 & 110.14(1)a The provider was caring for 9 preschool age children, plus two additional school-age children (Spring Break). The provider's mother was at the home helping the provider, although she has not been approved as an assistant. Four of the children in care are the provider's grandchildren and her mother's great-grandchildren. The provider was over ratio for a category B provider and was using an unapproved assistant.

2. 110.8(1)n The provider was missing some annual exams for her household pets.

3. 110.8(4)a The provider states that she has the children practice fire and tornado drills but does not keep documentation.

4. 110.9(1) Provider File:
-The provider does not have current physicals on file for her husband or son, both of which reside in the home.

5. 110.9(4) Children's Files:
-The provider is missing current physicals/statements of health for some of the children's files.

Suggestions/Recommendations:

A re-check is not needed at this time, corrections need to be made by 4/10/25 and will be reviewed at the next annual compliance check.

I encourage you to work with Karen Lauer from Child Care Resource and Referral with any ongoing compliance or training needs.

Karen can be reached at: 641-820-1922.

Corrective Action Required:

1. The provider needs to stay within ratio for a category B provider.

2. The provider needs to have her mother approved as an assistant and added to her registration.

3. The provider needs to document fire and tornado drills monthly.

4. The provider needs current physicals for all household members in the provider file.

5. The provider needs up to date physicals/statements of health in all children's files.

Non-compliance with any of the mandated requirements listed above may lead to the cancellation or revocation of your Child Development Home Registration. Please take whatever steps are necessary to completely address each of the violations noted above. It is essential you correct all above-mentioned violations.

Please do not hesitate to contact me at DHS at 319-800-4702 or holly.bailey@hhs.iowa.gov if you have any questions regarding this letter.

Sincerely,

Holly Bailey

**Social Worker II**

Sheila Aunspach

**Social Work Supervisor**

Always Remember:

Child Care Resource and Referral is an excellent resource for providers to access training options and support in your area. You can reach Child Care Resource and Referral at 877-216-8481

As you plan your future trainings to meet your 24 hours of training requirement, please remember that you can access the approved training by going to http://www.dhs.state.ia.us/Consumers/Child_Care/Professional_Development.html

You may also access training at: https://ccmis.dhs.state.ia.us/trainingregistry/

All providers need to maintain compliance with rules set out in Iowa Administrative Code, Chapter 110, which includes: 441 IAC 110.5(1): Check with the appropriate authorities to determine how the following local, state, or federal laws apply to you: • Zoning code • Building code • Fire code • Business license • State and federal income tax • Unemployment insurance • Worker's Compensation • Minimum wage and hour requirements • OSHA • Americans with Disabilities Act (ADA).